



KONGERIKET NORGE  
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Bekreftelse på patentsøknad nr

*Certification of patent application no*

J0912-U.S. PRO  
09/714956  
11/20/00

1999 5774

CERTIFIED COPY OF  
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2000.11.03

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PATENTSTREK  
25.NOV99 990774

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JFW/AN

25.11.99

E11087

ETOP99015/P12823NO

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Distributed electronic data processing

## FIELD OF THE INVENTION.

The invention relates to computer systems comprising two or more processing units (CPU), and in particular to inter process communication in such systems wherein sharing of the workload is accomplished by allocating different processes in a static  
5 manner to different CPUs that operate autonomously and with operating systems (OS) that do not include known traditional networking capabilities for such inter process communication across the system.

## THE PROBLEM AREAS.

10 In computer systems, meeting the requirements for efficiency and speed can be a problem since a single physical CPU of a typical computer system is only capable of carrying out a single instruction at a time. A commonly employed solution to this problem is to include two or more physical CPUs (or computers) to increase the capacity of the computer system. With more than one CPU in such systems, the various  
15 tasks, typically referred to as processes that each would be assigned a unique symbolic process identifier (PID), that essentially comprise the computer programs operated by means of the CPUs, can be distributed among the CPUs to share the workload and to allow that more than one instruction can be carried out at the same time. Typically, in most systems the various processes will need to communicate for different purposes  
20 such as information exchange or signals receiving and transmitting, and when different processes are resident of different CPUs, such systems must also include means by which the different processes are allowed to communicate with one another without limiting inter process communication to processes with the same CPU allocation. It should be noted that in such systems it is assumed that the OS or any interrupt routines  
25 may also send signals, but only processes can receive signals. When the CPUs or computers of such systems operate autonomously and their OS do not include networking capabilities for "transparent" inter process signals communication across the system, other means for accomplishing inter process communication between processes of different CPUs or computers need to be provided.

30

## KNOWN SOLUTIONS AND PROBLEMS WITH THESE.

Several solutions to facilitate inter process communication in multiprocessor or multi-computer systems of the kind mentioned above are known. Some solutions suggest the use of common memory for such communications, while others suggest the addition of  
35 other kinds of coupling between the processors in the system.

US Patent no. 5 062 040 discloses a multi-processing arrangement to ensure that signals are not lost and that duplicate signals are not processed, and a multiprocessor system being transparent to existing programs. A signal-handling code fragment is always executed on the processor local to the user portion of an extended process. The user's  
5 kernel must send the current signal result (that is, whether a signal has been received which is not being ignored) to a stub process with any stub packet, and any updated signal result must be sent to an active stub process, if any non-ignored signals are subsequently received by the user's process before the stub process responds. This is defined as the pseudo-signal state. Each kernel is arranged to maintain a linked list of  
10 table entries which have signals to be sent or forwarded and a system process running at base level that removes signals from the list and forwards them to the correct destination via a communication channel to each processor.

#### OBJECTS OF THE INVENTION.

15 Accordingly, it is an object of the present invention to provide an arrangement for inter process communication across a multi-processor system with distributed processing, wherein processor and OS autonomy is maintained and wherein OS common control elements or information is not required.

#### 20 BRIEF DISCLOSURE OF THE INVENTION.

The above object of the present invention is accomplished by providing a novel virtual link handler (VLH) comprising shadow processes (SP) for actual processes communicating across the system and driver adapters (DA) for communicating CPUs. In an arrangement according to the invention, the symbolic PIDs of resident actual  
25 processes of a CPU or computer requiring communication with other resident processes of other CPUs or computers of the system are also defined on such other communicating CPUs or computers, respectively. Accordingly, the symbolic PID of a resident SP of a CPU or computer will be the same as the symbolic PID of a resident actual process of another CPU or computer. Hence, a resident process of a particular  
30 CPU, identified by its PID and wishing to communicate a signal to another "remote" resident target process of another "remote" CPU with another PID, will on the CPU on which it resides find a "local" SP with the same symbolic PID as the symbolic PID of the "remote" target process. For exchange of signals, the interface of the local SP to a local communicating actual process appears identical to the interface to actual "remote"  
35 target process. The local "SP", however, actually makes no decisions based on the

signal, but serves the function of forwarding the received signal to the other CPU to which the "remote" target process is allocated and is operating on. On the receiving CPU, the signal message is received by a similar SP, which in turn forwards the signal to the actual target process. Accordingly, the communication of signals between CPUs or computers of systems according to the invention is facilitated by means of the novel VLH without requiring that the actual processes have any knowledge of the presence of the VLH. As can be seen from the above standing, the SP may serve to receive from a process a signal for forwarding to another CPU or computer as well as to deliver to a process a signal that has been forwarded from another CPU or computer. To communicate signals between the CPUs, the shadow processes on each CPU have interfaces to driver adapters which in turn have interfaces to drivers for the transmission means that provide the channels of the system used to convey messages from a CPU or computer to another.

#### 15 BRIEF DESCRIPTION OF THE DRAWINGS.

Fig. 1 is a schematic drawing illustrating an example of problem scenario with a system of two CPUs or computers, each with a resident different process requiring to communicate with the other.

Fig. 2 is a schematic drawing illustrating an example of a VLH solution according to the present invention for solving the problem illustrated in fig. 1.

Fig. 3 is a schematic representation of an example of an embodiment of a DA process according to the invention.

Fig. 4 is a schematic representation of an example of an embodiment of a procedure of a driver adapter according to the invention.

25 Fig. 5 is a schematic representation of an example of an embodiment of another procedure of a driver adapter according to the invention.

Fig. 6 is a schematic representation of an example of an embodiment of a shadow process according to the invention.

Fig. 7 is a schematic diagram illustrating a system example with a VLH solution according to the present invention.

30 Fig. 8 illustrates schematically for the system example of fig. 7 the relationship for between the PIDs of actual processes, the PIDs shadow processes of respective the processors and their locations, respectively.

Fig. 9 a-c illustrates schematically for the system example of fig. 7 the development of look-up tables.

Fig. 10 illustrates a transfer of a signal in one direction in the system example of fig. 7.

Fig. 11 illustrates a transfer of a signal in another direction in the system example of fig. 7.

#### DETAILED DESCRIPTION OF THE EMBODIMENTS.

In the following, by way of examples and with reference to the accompanying drawings, the present invention will be explained in more detail.

10

In fig. 1 is shown an example of a typical problem scenario in a system with two processors and with processes P1 and P2 resident and operating on processors A and B, respectively, wherein the processes wish to communicate. The operating system (OS) of each processor enables communication between processes resident and operating on the same processor, but does not provide for communication with processes resident and  
15 operating on different processors. Accordingly, in the typical situation shown in fig. 1, although the processors are provided with physical interconnection for transferring signals, processes P1 and P2 are unable to communicate signals to one another.

In fig. 2 is shown a schematic drawing of a similar scenario as shown in fig. 1, but now  
20 provided with two new processes P1' and P2', respectively, and driver adapters according to the present invention, to allow signal communication between the processes. In systems wherein the present invention is implemented and wherein different types of processors are utilised, the system designer must take into consideration the use of formats of the various processors, such as byte ordering and  
25 padding.

With reference to fig. 3, a symbolic representation of an example of an implementation of a DA process according to the invention is shown. After start and initialisation, the process sits in the idle state until a signal event occurs. At signal event, if the signal comes from a driver the course of the process is as indicated by the branch marked (1)  
30 below, or, if the signal is to be transferred to a driver the course of the process is as indicated by the branch marked (2).

With reference to fig. 4, a symbolic representation of an example of a procedure in an implementation of the DA according to the invention is shown. The procedure is used to forward a signal to a DA.

With reference to fig. 5, a symbolic representation of an example of a procedure in an implementation of the DA according to the invention is shown. The procedure is used to forward a signal from DA to the SP corresponding to the originating process of the signal. The shadow PID (SPID) of that process is extracted from the parameter prm.sender and converted to the local PID using the GetPID() function. The SHADOW\_SIGNAL should be a unique signal ID that is only sent from the DA to any SP.

With reference to fig. 6, a symbolic representation of in an implementation of a (generic) SP according to the invention is shown. After start and initialisation, the process sits in the idle state until a signal event occurs. At signal event, if the signal comes from a DA the course of the process is as described in the paragraph marked (1) below, for all other signals the course of the process is as described in the paragraph marked (2) below:

(1) When a shadow process receives a SHADOW\_SIGNAL, the signal ID and the original signal is extracted from the received signal. Then the intended receiver SPID is extracted from the signal and converted to the local PID using the GetPID() function, and the signal is sent to the intended process. Subsequently, the SP goes back to IDLE state;

(2) When any other signal is received the signal is modified: the SP adds both the SPID of the receiver and the SPID of the sender to the signal. The processor address of the receiver of the signal is also found to know which processor the signal should be sent to. The signal is then sent to the driver adapter. The SP goes back to IDLE state.

For the process described in the previous two paragraphs, the following applies:

The GetPID () function looks up a PID at the local processor based on the SPID.

The GetSPID () function looks up a SPID based on the PID at the local processor.

The GetAddress () function looks up the processor address based on the SPID. The processor address identifies the different processors.

To avoid ambiguity or uncertainty, in a preferred embodiment of the present invention the SPID is held unique throughout the system. An alternative solution is to use SPID together with the address of the CPU or computer as a unique identifier of the process.

The Receiver () function and the Sender () function are OS functions and return the PID of the receiver and the sender, respectively.

Another system example is shown in fig. 7. It is assumed that the system requirements  
 5 have been made such that the required performance of the example can no longer be met by a system comprising an available single processor. Accordingly, to fit the system to available space and processing power, in the system example shown comprising 7 actual processes in total, the actual processes has been divided into two groups (one for each processor). A first group comprising processes P1, P2 and P3 and a second group  
 10 comprising processes P4, P5, P6 and P7, are resident and operate on processor A and B, respectively.

Now that the two groups have been separated to be at two different processors, it is needed to re-establish the communication that once was between the processes . This is done, as shown in fig. 8, by introducing and placing "shadow processes" on each  
 15 processor, one for each missing "actual" process. The shadow processes are components of the novel VLH which facilitates process signal communication across the system. In the example shown, communication is required between processes P1, P2, P3 of the first group and processes P4, P5, P6 of the second group, respectively. P7 of the second group, however, requires no signal communication with any of the  
 20 processes of the first group. Accordingly, there is no need for a shadow process for P7.

After introducing the shadow processes, corresponding systems are established on the processors. With this arrangement, an actual process of the first group can find an addressee for signal communication by a shadow process which correspond to an actual processes of the second group, and vice versa.

25 To allow message communication between the different CPUs, communication means between the two processors are needed. Such communication means could be consisting of drivers and associated physical connections. In order for the shadow processes to make use of such communications means, adapters to the drivers are also needed. The provision of these are also shown in fig. 7.

30

Finally, a way to identify the processes between the processors is required. In the system shown, it is assumed that the processors do not have common memory. According to the invention, the way to identify the processors is shown by the reference table example of fig. 8, corresponding to the system example of fig. 7.



With reference to fig. 8, it can be seen that process P7 of the example does not have a shadow PID or a corresponding PID on processor A as process P7 does not require signals communication with any of the processes residing on processor A.

5

The development of the tables is explained in figs. 9a - 9c. It is assumed that the system does not have common memory, and the reference table of fig. 8 is split into two tables, as shown in fig. 9, and are placed on the respective processors. It can be seen from fig. 9b that process P7 is removed from the table since there is no communication between  
 10 the processes of processor A and the process P7. Now we have a reference table that makes it possible to tell which process a signal is sent from and to which process a signal is sent.

The system example of fig. 7 is a system with only two CPUs or computers.  
 15 Accordingly, in such systems according to the invention with only two CPUs or computers, the address field can be omitted, and the table may be developed further to the table shown for this example in fig. 9c. The reason for this is that a signal sent from an actual process to a SP shall always be forwarded to the other "remote" CPU or computer, and a signal is sent from the DA to a SP shall always be forwarded to an  
 20 actual "local" process.

Referring to fig. 10, an example of a signal communicated from a process of processor A to a process of processor B is shown. Assume that a signal, S1, is to be sent from actual process P1 to actual process P5. Since the shadow process P5' has the same  
 25 symbolic PID as the actual process P5, the first recipient of the signal will be the shadow process corresponding to P5, namely P5'. P5' will look up the senders local PID and, if necessary, also its own PID. The shadow process uses these data to look up the corresponding processor independent PID, called the shadow PID. All these data are sent through the lower levels, such as the driver adapter and the driver. At the driver  
 30 level, it is transmitted to the other processor marked with an ID that tells the driver on the other processor that this is a "shadow process" signal. For the data transmission, retransmissions and/or frame numbering may be utilised, independent of the solution according to the invention. When the signal reaches the driver adapter of the other processor, a look-up is done in the table to find which shadow process corresponds to  
 35 the sender of the signal. The signal S3 (still carrying the sender and receiver

information) is then sent to that process. That process is in this case P1'. When the signal reaches P1' the sender and receiver information is removed, and the original signal is sent to the process P5 which is the intended receiver.

A signal is sent from P1 to P5 has been explained in the foregoing. As shown in fig. 11, process P5 of the example may respond to the signal of process P1, or a new signal may be sent from P5 to P1. In both cases, the procedure will be similar to the procedure explained in the previous paragraphs, but signals in the example flow in the opposite direction.

It should be noted that signals S2 and S5 may also be a function call into the DA, but is viewed as a signal to show the flow of information.

It should be noted, also, that the response to a signal sent, as described above, is not mandatory in a solution according to the present invention. The two signal sequences described in the foregoing (P1 to P5 and P5 to P1) may therefore be seen as two separate signal sequences.

15

## ADVANTAGES

A signal (sometimes called a message) is used by processes to tell other processes something. The OS or any interrupt routines (not explained) may also send signals, but only processes can receive them. This can be accomplished in multiprocessor, distributed systems wherein the OS of each processor does not provide for communication between different processes that in a static manner are resident of and operating on different processors.

Sharing of the workload is accomplished by allocating different processes in a static manner to different CPUs that operate autonomously and with operating systems (OS) that do not include traditional networking capabilities and associated overhead.

The VLH of present invention allows signal exchange between processes resident of and operating on different processors without modifications to a processor dedicated operating system and without adding to system overhead and complexity.

Also, simplicity is maintained by implementing the invention through utilisation of identical shadow processes. Shadow process identities, however, differ.



P a t e n t   c l a i m s

1.

An arrangement for inter processor (CPU) or inter computer process signal  
5 communication in a system comprising two ore more CPUs or computers, wherein  
CPUs or computers include independent operating systems (OS), inter CPU or computer  
communications drivers and driver associated interconnections between the CPUs or  
computers, c h a r a c t e r i s e d i n a virtual link handler (VLH) comprising at least  
10 one shadow process (SP) for each actual process communicating with at least one actual  
process resident of another CPU or computer and a plurality of driver adapters (DA)  
each communicating with at least one SP and/or an OS.

2.

The arrangement of claim 1, c h a r a c t e r i s e d i n that a shadow process associated  
15 with a CPU or computer of the system is identified by a process identifier (PID)  
identical to the PID of the actual process associated with another CPU or computer of  
the system.

3.

20 The arrangement of claim 1 or 2, c h a r a c t e r i s e d i n that a shadow process  
identifier (SPID) is assigned to each process.

4.

The arrangement of claim 3, c h a r a c t e r i s e d i n that the SPID itself is a unique  
25 process identifier throughout the system.

5.

The arrangement of claim 3, c h a r a c t e r i s e d i n that the SPID in conjunction  
with the processor or computer address is a unique process identifier throughout the  
30 system.

6.

The arrangement of any one of the previous claims, c h a r a c t e r i s e d i n that  
actual processes are statically associated with or residents of particular CPUs or  
35 computers of the system.

7.

The arrangement of any one of the previous claims, characterised in that the VLH maintains a reference list of the associations of the actual processes with the respective CPUs or computers of the system.

5

8.

The arrangement of claim 7, characterised in that address tables located at each communicating CPU or computer of the system are developed from the reference list.

10

9.

The arrangement of any one of the previous claims, characterised in that a signal communicated from one process on one CPU or computer to another process on another CPU or computer is temporary modified to include at least the PID of the receiving SP.

15

10.

The arrangement of claim 9, characterised in that a signal communicated from one process on one CPU or computer to another process on another CPU or computer is temporary modified to include the PID of the sending SP.

20

11.

The arrangement of claim 1, characterised in that the DA of a CPU or computer is provided with an interface to the SPs and/or OS associated with the same CPU or computer.

25

12.

The arrangement of claim 1 or 11, characterised in that the DA of a CPU or computer is provided with an interface to the drivers for CPU or computer interconnections associated with the same CPU or computer.

30

13.

A method for inter processor (CPU) or inter computer process signal communication in a system comprising two or more CPUs or computers, wherein CPUs or computers include independent operating systems (OS), inter CPU or inter computer communications drivers and driver associated interconnections between the CPUs or computers,

35

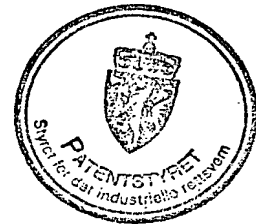
characterised in the steps of:

- transferring on a CPU or computer a signal intended for another process on another CPU or computer from an originating process to a shadow process representing the other process,
- 5 - amending parameters of the signal by means of the shadow process and a table including the system address of the other process,
- transferring the amended signal to the other CPU or computer by means of driver adapters, the drivers and the driver associated interconnections,
- receiving the amended signal on the other CPU or computer by a shadow process  
10 representing the originating process of the signal,
- amending the received signal by the receiving shadow process by means of parameters carried by the received signal, and
- transferring the amended received signal to the other process for which the signal was intended.



In a distributed processing system of two or more interconnected CPUs or computers, each having an operating system, wherein different processes are assigned to particular CPUs in a static manner for workload distribution, a novel virtual link handler (VLH) comprising shadow processes and driver adapters allow processes resident of and operating on a CPU or computer to exchange signals with processes resident of and operating on other CPUs.

(fig. 2)



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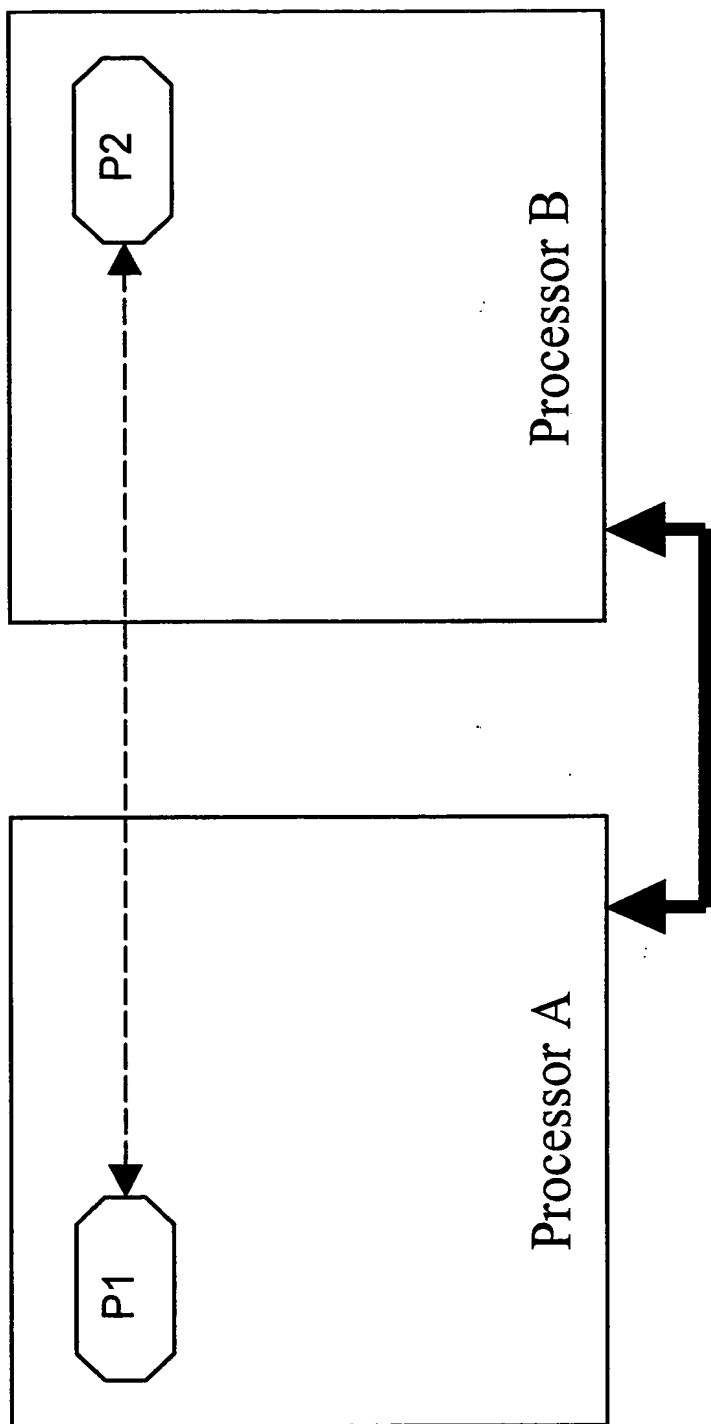
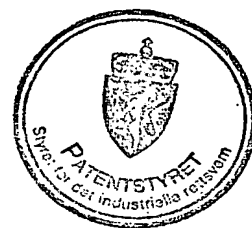


Fig. 1



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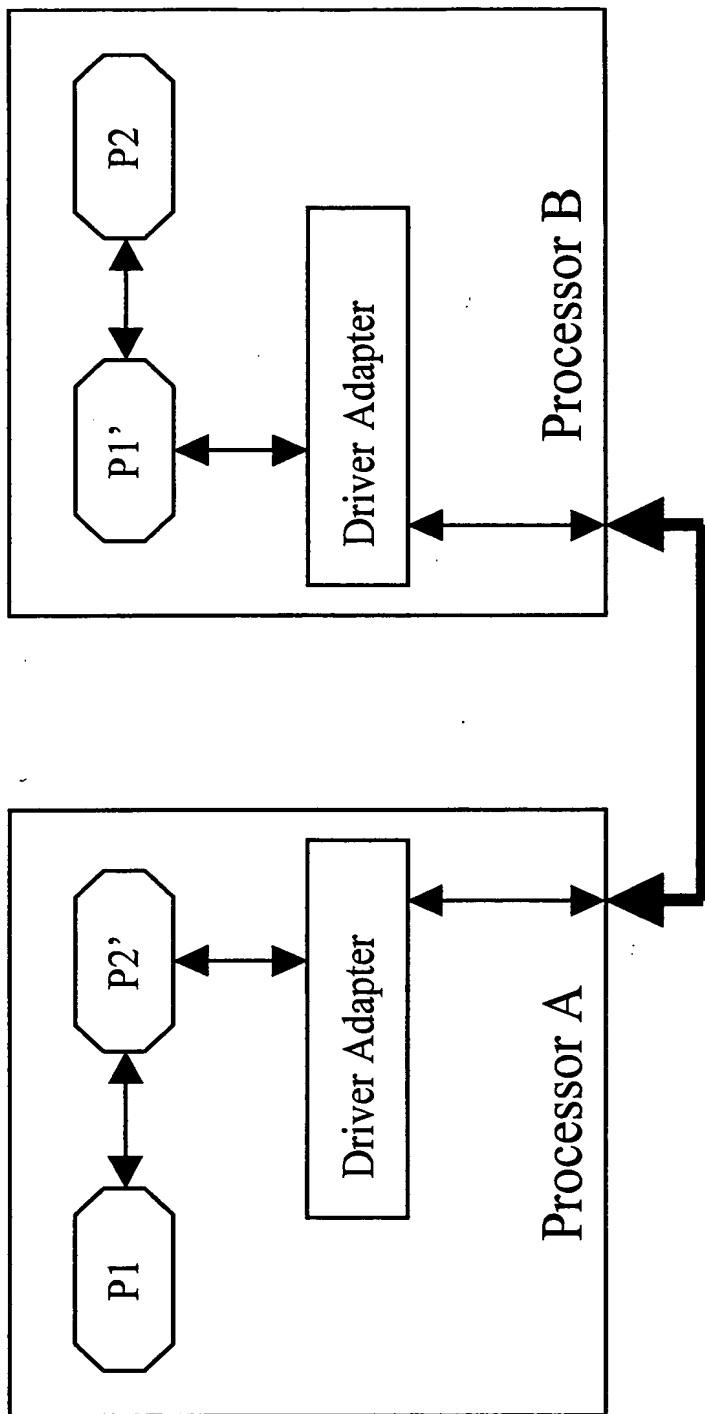


Fig. 2





1g

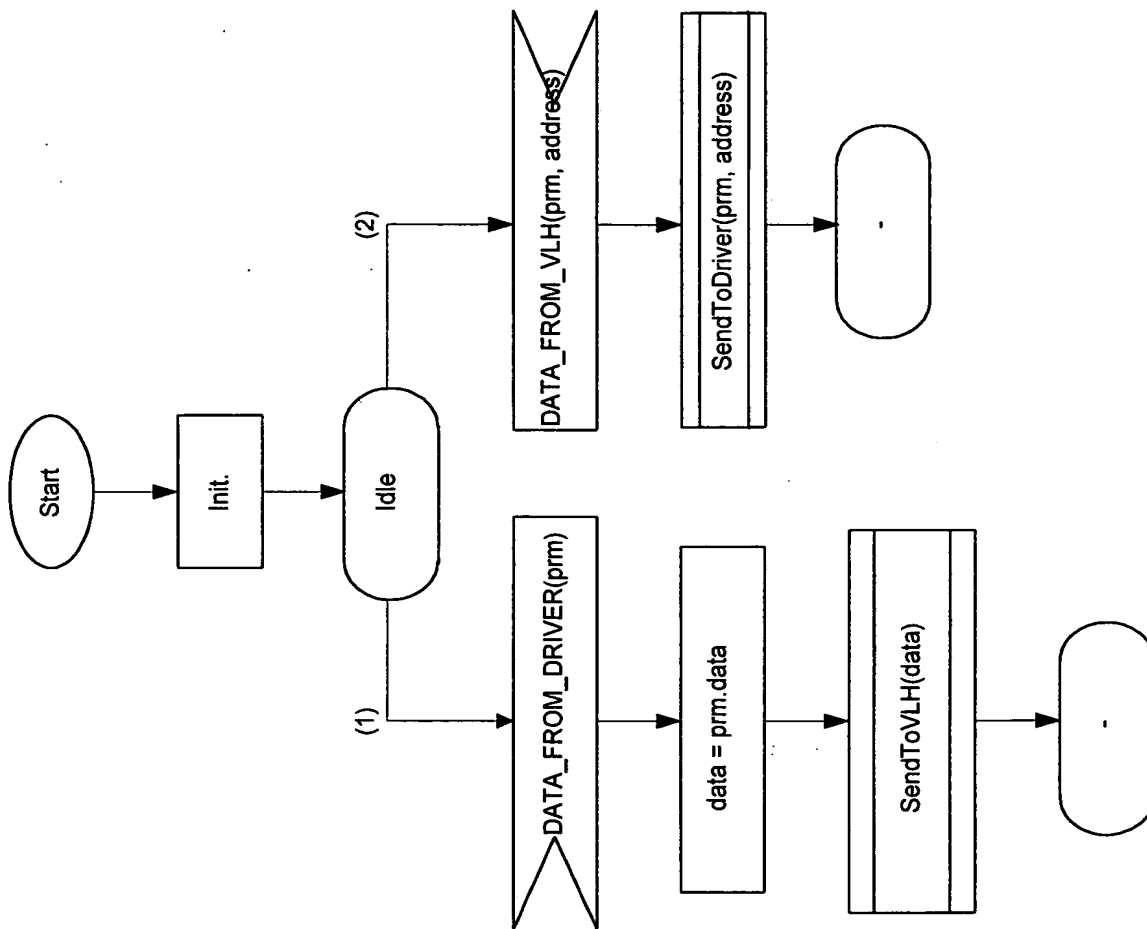


Fig. 3



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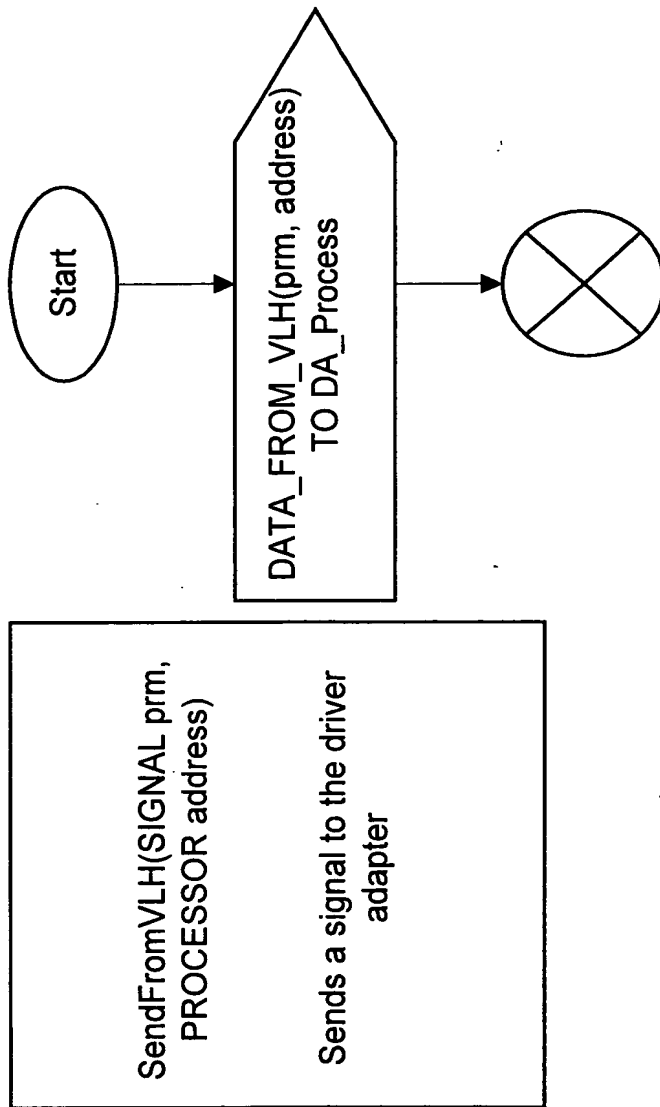


Fig. 4



Send To VLH(SIGNAL s)

Sends a signal to the one of the shadow processes. The process sent to has the ID of the adressor of the signal.

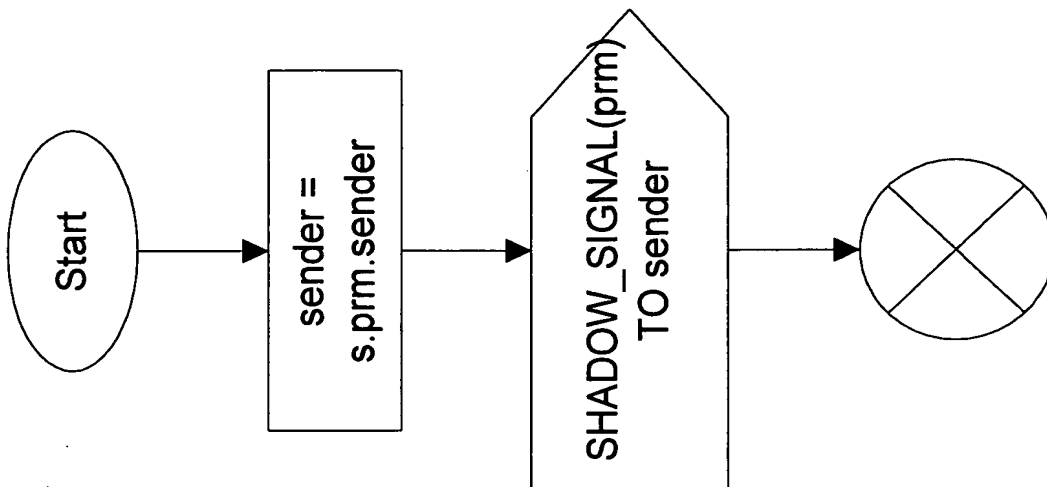


Fig. 5

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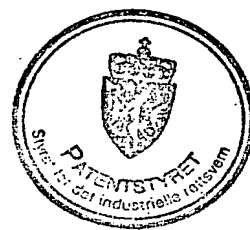
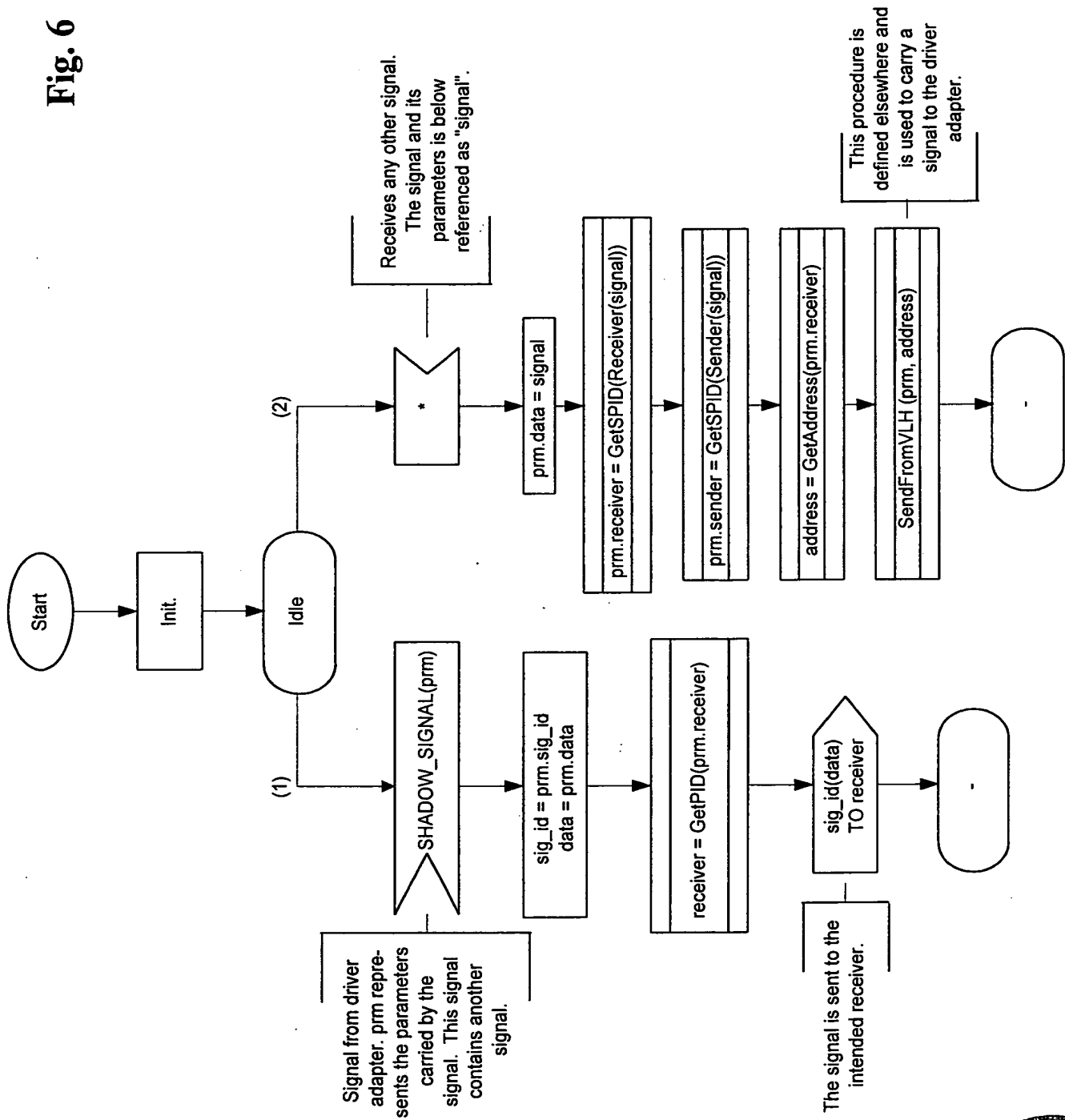


Fig. 6



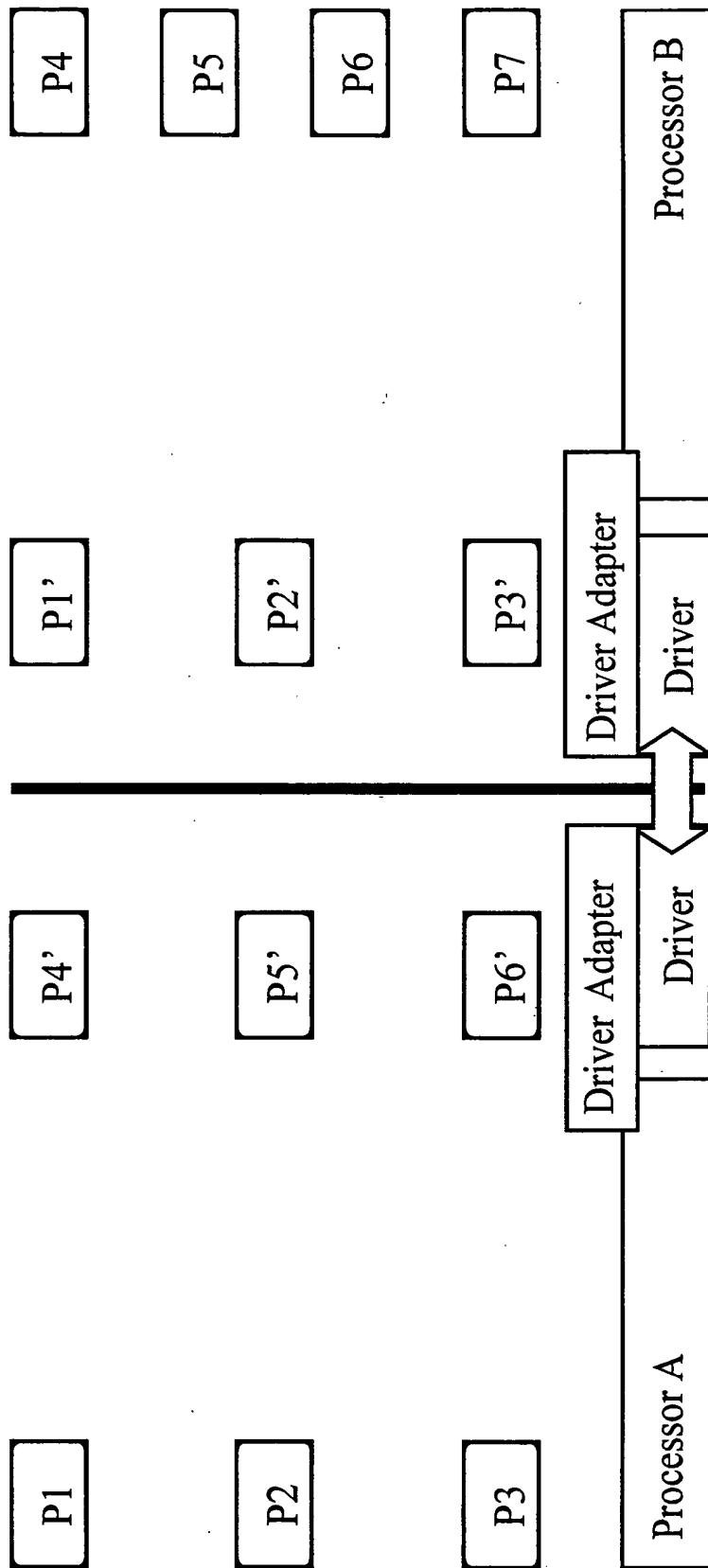


Fig. 7

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Processor A PIDs	Shadow PIDs	Address	Processor B PIDs
P1	sP1	A	P1'
P2	sP2	A	P2'
P3	sP3	A	P3'
P4'	sP4	B	P4
P5'	sP5	B	P5
P6'	sP6	B	P6
-	-	B	P7

Fig. 8



Processor A		
PIDs	Shadow PIDs	Address
P1	sP1	A
P2	sP2	A
P3	sP3	A
P4'	sP4	B
P5'	sP5	B
P6'	sP6	B
-	-	-

Processor B		
Address	Shadow PIDs	PIDs
A	sP1	P1'
A	sP2	P2'
A	sP3	P3'
B	sP4	P4
B	sP5	P5
B	sP6	P6
B	-	P7

Fig. 9a



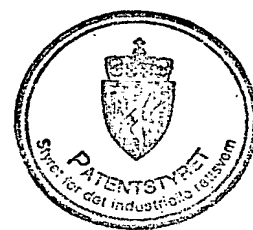
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Processor A		
PIDs	Shadow PIDs	Address
P1	sP1	A
P2	sP2	A
P3	sP3	A
P4'	sP4	B
P5'	sP5	B
P6'	sP6	B

Processor B		
Address	Shadow PIDs	PIDs
A	sP1	P1'
A	sP2	P2'
A	sP3	P3'
B	sP4	P4
B	sP5	P5
B	sP6	P6

Fig. 9b



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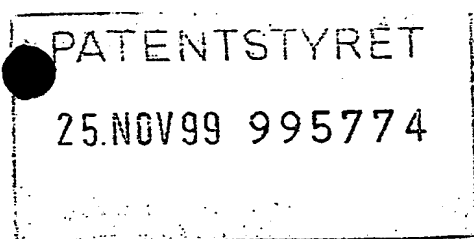
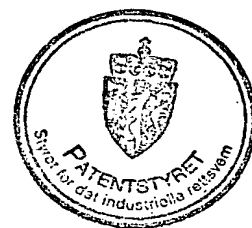
1/n



Processor A	
PIDs	Shadow PIDs
P1	sP1
P2	sP2
P3	sP3
P4'	sP4
P5'	sP5
P6'	sP6

Processor B	
Shadow PIDs	PIDs
sP1	P1'
sP2	P2'
sP3	P3'
sP4	P4
sP5	P5
sP6	P6

Fig. 9c



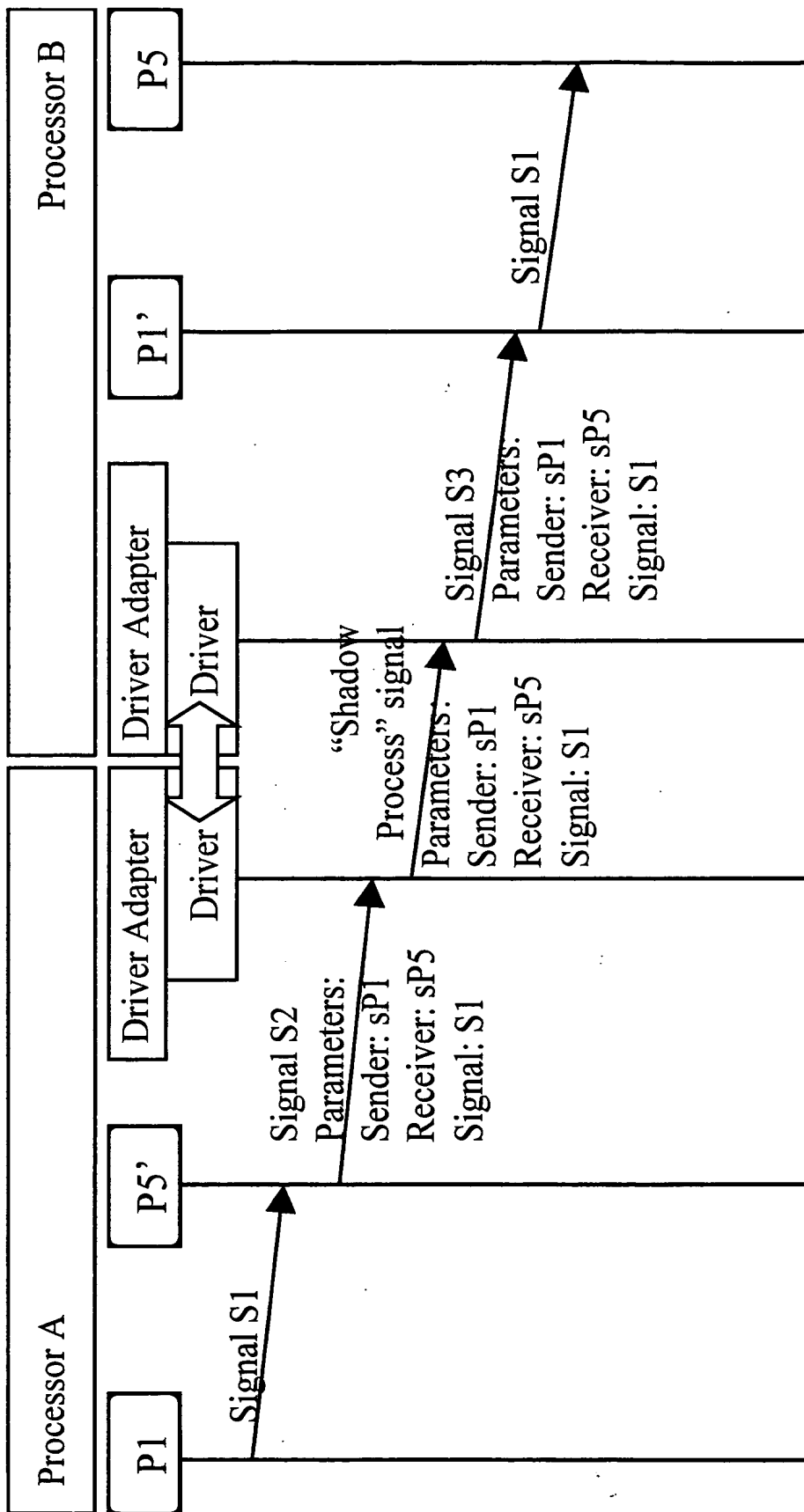


Fig. 10



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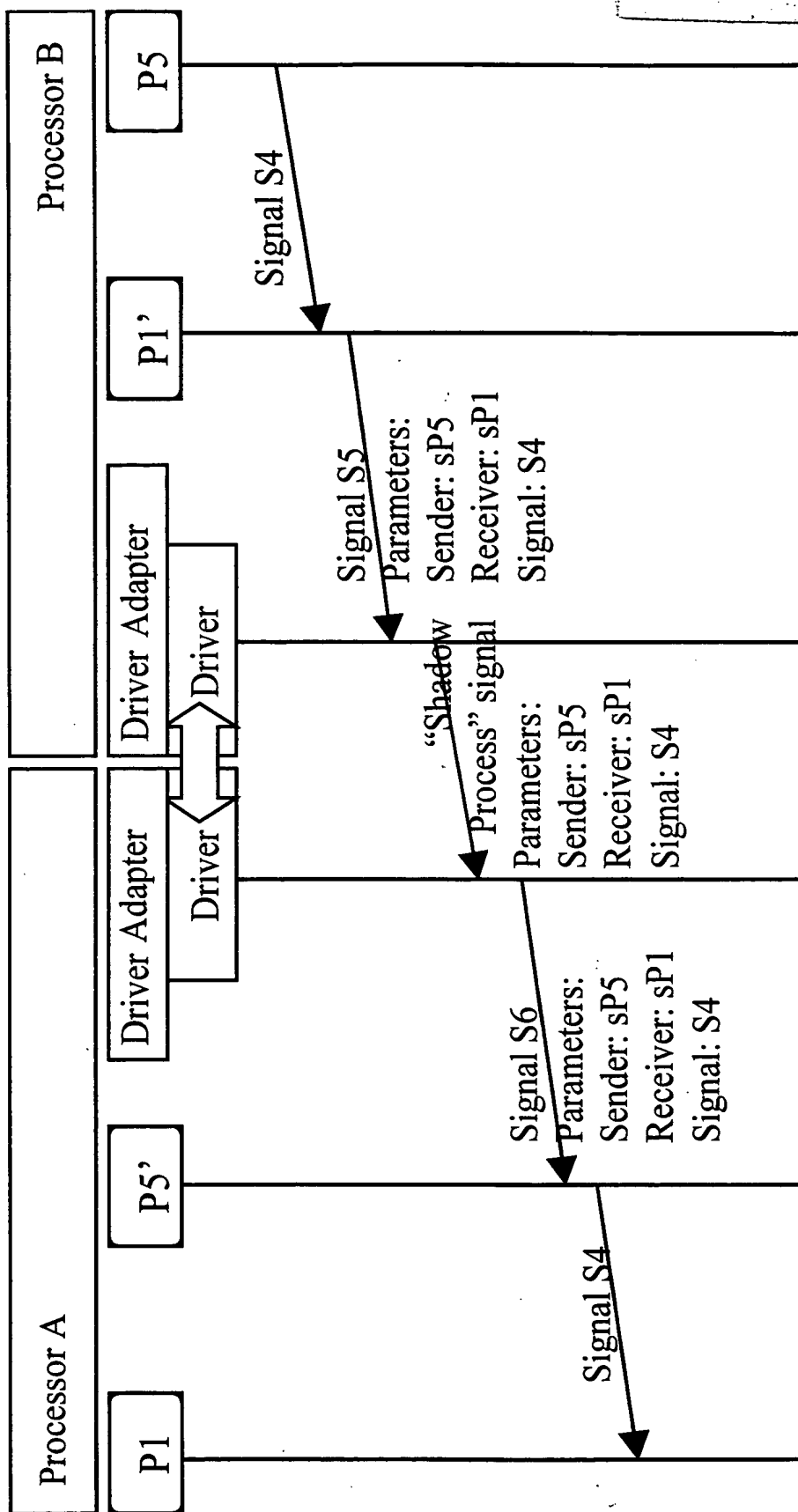


Fig. 11

